#4

Sheet 1 of 1

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	ATTY. DOCKET NO. 11157.00	SERIAL NO. 09/989,898
	APPLICANT: Laurence M.C. LAI et al.	
	FILING DATE November 19, 2001	GROUP 3729 1765

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AMA		3,536,545	10/27/1970	Traynor et al.	156	3	
		3,898,095	08/05/1975	Berdan et al.	134	3	
		3,913,219	10/21/1975	Lichtblau	29	592	
		4,398,994	08/16/1983	Beckett	156	659.1	
		4,552,614	11/12/1985	Beckett	156	640	
		4,610,755	09/09/1986	Beckett	156	634	
		4,869,778	09/26/1989	Cote	156	635	
		4,915,780	04/10/1990	Beckett	156	661.1	
		4,935,093	06/19/1990	Reeb	156	630	
		5,117,078	05/26/1992	Beckett	219	10.55 E	
		5,340,436	08/23/1994	Beckett	156	639	
		5,754,110	05/19/1998	Appalucci et al.	340	572	

TC 3700 MAIL ROOM RECEIVED FEB 22 2002

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

		DOCUMENT NUMBER	PUBLISHED DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	TRANSLATION NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

AMA		"An Overview of American Technology Corporation's NeoPlanar™ High Performance, Planar Magnetic Transducer", American Technology Corporation, 2001.

EXAMINER Anita Alanko DATE CONSIDERED 4/14/03

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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FORM PTO-1449 (Modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	ATTY. DOCKET NO. 11157US.00	APPLN. NO. 09/989,898 #8
	APPLICANT: Laurence Lai et al.	
	FILING DATE 19 November 2001	GROUP ~~2643~~ 1765

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AMA	A	5,628,921	05/1997	Beckett	216	91	

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FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

		DOCUMENT NUMBER	PUBLISHED DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	TRANSLATION NO
AMA		0936286 A1	08/1999	EPO				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER Anita Alexander DATE CONSIDERED 4/14/03

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.